

Estimates for the spectral asymptotic in the Large Coupling Limit

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Motivation/Main result

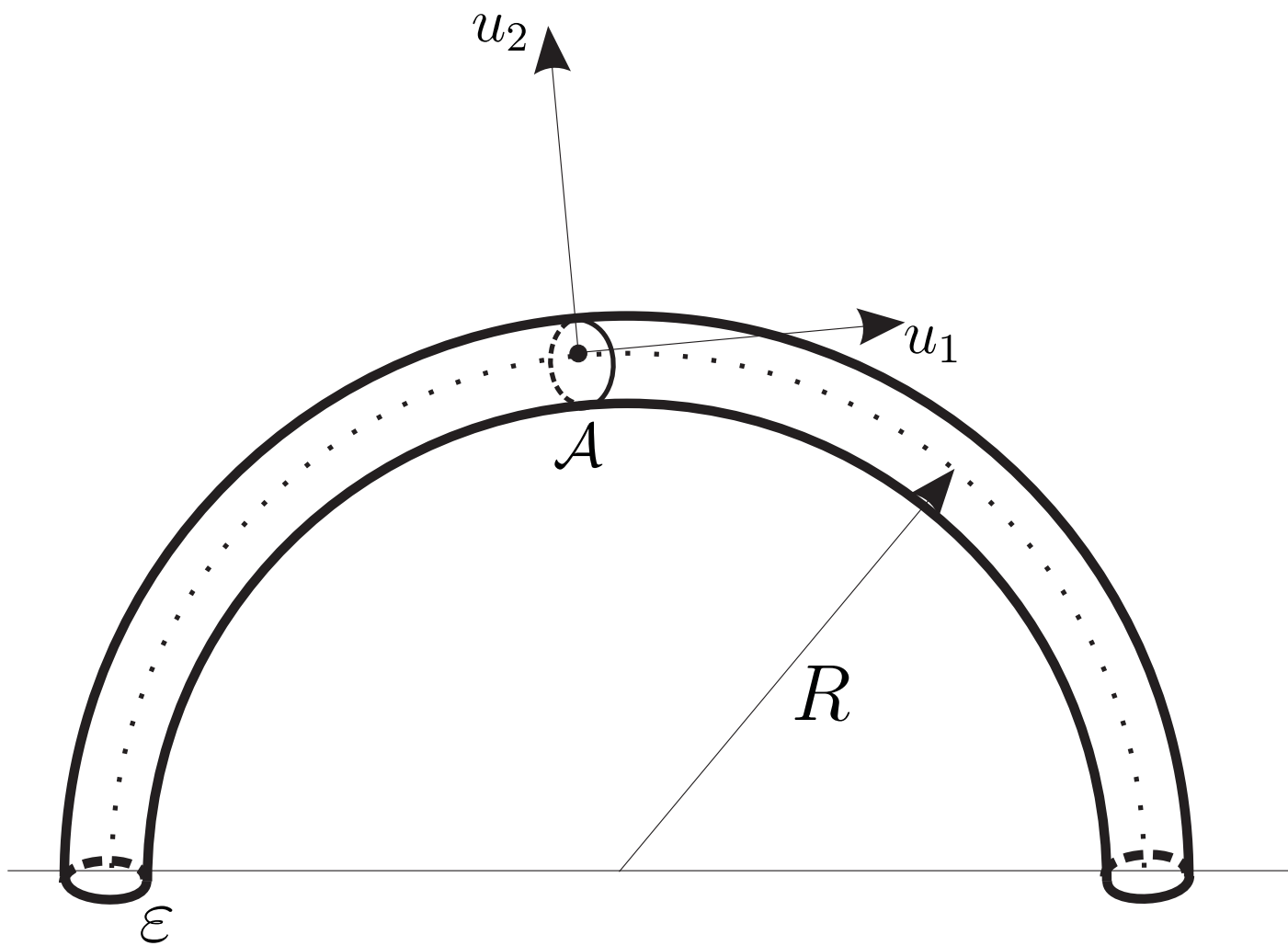
- Consider singularly perturbed families of positive definite forms $h_\kappa(\psi, \phi) = h_b(\psi, \phi) + \kappa^2 h_e(\psi, \phi)$ with huge coupling constant κ .
- We have $H_\kappa \rightarrow H_\infty$, where H_∞ is defined by a restriction of h_κ in $\text{null}(h_e) \neq \{0\}$.
- We argue: For an analysis of $\lambda_\kappa \rightarrow \lambda_\infty$ it is sufficient to study $(\psi, H_\kappa^{-1} \phi)$ for $\psi, \phi \in \text{range}(E_\infty \{\lambda_\infty\})$.

Multi-scale model problems

... in quantum as well as in classical mechanics

- $-\Delta + V + \kappa^2 \chi_O$ (Brasche, Demuth, Kirsch, ...)
- $-\Delta + \kappa^2 \operatorname{div}^* \operatorname{div}$ (Beattie, Goerisch, Greenlee, ...)
- 1D-models $(u'_2 + \frac{u_1}{R})' (v'_2 + \frac{v_1}{R})' + 1/\epsilon^2 (u'_1 - \frac{u_2}{R}) (v'_1 - \frac{v_2}{R})$

But also in other settings e.g. semi classical analysis for magnetic potentials (Dauge, Helffer, ...)



Key technical result

Set $\eta_i^2(\lambda_\infty) = \max_{\substack{d(\mathcal{S})=i \\ \mathcal{S} \subset \mathcal{E}_\infty}} \min_{\psi \in \mathcal{S} \setminus \{0\}} \frac{(\psi, H_\kappa^{-1} \psi) - (\psi, H_\infty^{-1} \psi)}{(\psi, H_\kappa^{-1} \psi)},$

for $\mathcal{E}_\infty := \text{range } E_\infty(\lambda_\infty)$, then

- for eigenvalues:
$$\sum_{i=1}^{\#\lambda_\infty} \frac{|\lambda_\kappa^{(i)} - \lambda_\infty|}{\lambda_\infty} \simeq \sum_{i=1}^{\#\lambda_\infty} \eta_i^2(\lambda)$$

- and for eigenvectors:

$$\|E_\kappa - E_\infty(\{\lambda_\infty\})\|_{HS} \leq O\left(\sqrt{\sum_{i=1}^{\#\lambda_\infty} \eta_i^2(\lambda)}\right)$$

and in particular $h_\kappa[v_\kappa - v_\infty] \simeq O(\eta_{\#}^2(\lambda_\infty))$

How to handle $\eta_i(\lambda_\infty)$

- variationally: $(\psi, H_\kappa^{-1}\phi) - (\psi, H_\infty^{-1}\phi) = \|H_\kappa H_\infty^{-1}\psi - \psi\|_{H_\kappa^{-1}}$
- geometrically: the quotient $\frac{(\psi, H_\kappa^{-1}\psi) - (\psi, H_\infty^{-1}\psi)}{(\psi, H_\kappa^{-1}\psi)}$ is $\sin^2 \angle(H_\kappa^{-1}\psi, H_\infty^{-1}\psi)$ in energy space $(\mathcal{Q}, h_\kappa[\cdot])$.
- The theorem shows equivalence of **error** to the *a posteriori* quantity $\sin^2 \angle_{h_\kappa}(H_\kappa^{-1}\mathcal{E}_\infty, H_\infty^{-1}\mathcal{E}_\infty)$.

Upper and lower estimates for $\eta_i(\lambda)$

- Adaptation of Brasche–Demuth '05 yields

$$\frac{((I+H_e)^{-1}H_b^{-1}\psi, H_b^{-1}\psi)}{\kappa^2} \leq (\psi, H_\kappa^{-1}\psi) - (\psi, H_\infty^{-1}\psi)$$

- Special case: we assume

$$\|H_e^{1/2}H_b^{-1/2}f\| \geq \frac{1}{\beta} \|P_{\text{null}(H_e^{1/2}H_b^{-1/2})}f\|$$

and obtain $(\psi, H_\kappa^{-1}\psi) - (\psi, H_\infty^{-1}\psi) \leq \frac{\beta}{\kappa^2} K_\psi$

- K_ψ is a behavior of the **referent system**.

Regular perturbations

- $\beta < \infty$ is a **regularity assumption** on perturbation h_e
... not satisfied by the deep-well model problem.
- For the χ_0 potential use the laplace transform and the Feynman–Kac formula, as in
Demuth–Brasche '05, Demuth–Jeske–Kirsch '93, ...
... or boundary layers as in Bruneau—Carbou '02, ...

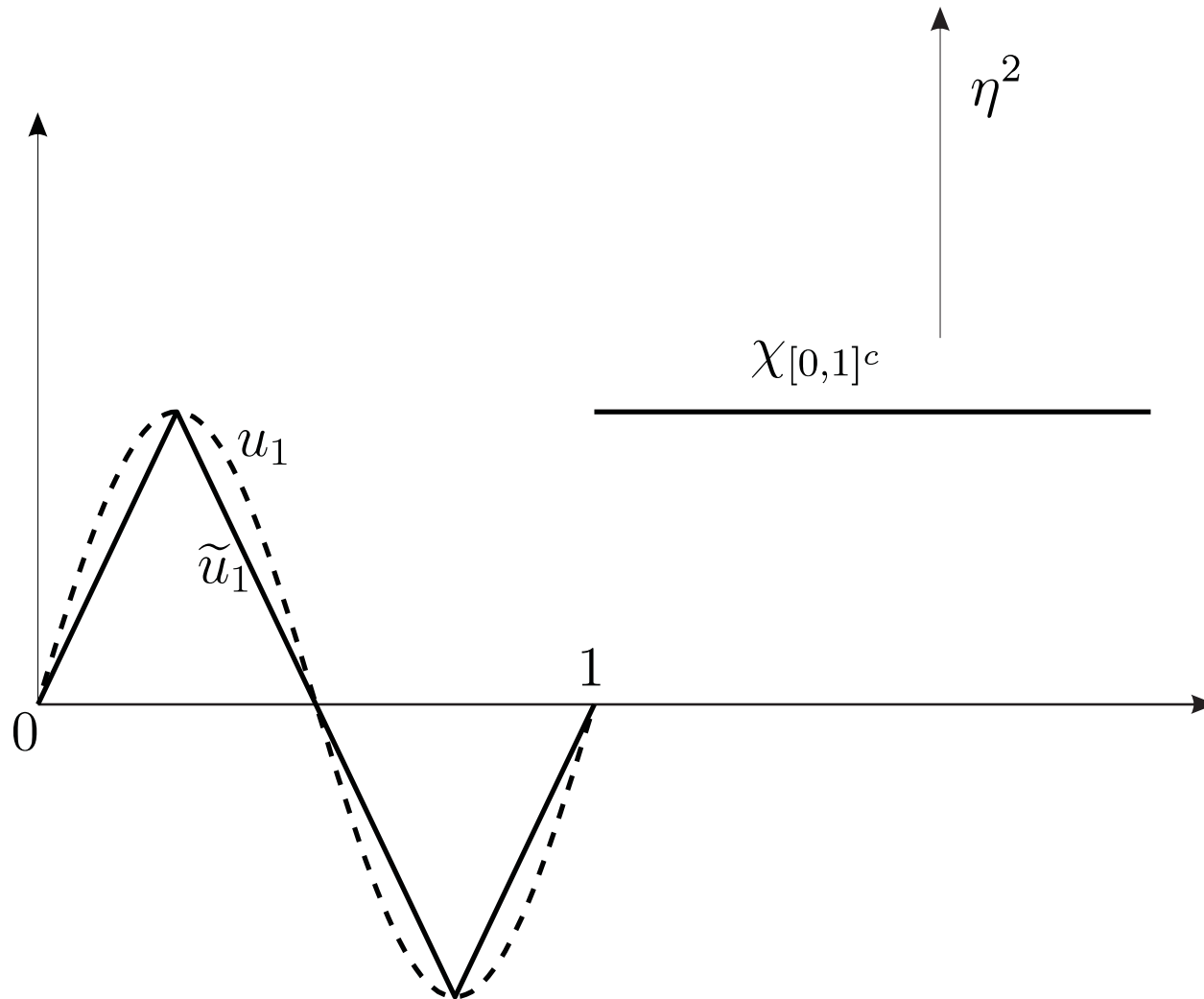
Model problem for a non-regular perturbation

Consider $H_\kappa = -\partial_{xx} + \kappa^2 \chi_{[1,\infty)}$ in $\mathcal{H}_0^1[0, \infty)$

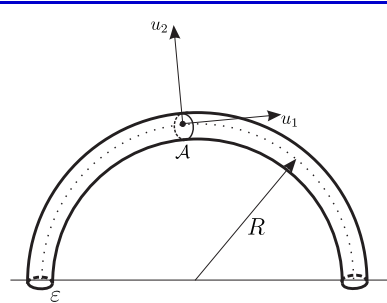
- A direct expansion gives $\frac{\lambda_1^\infty - \lambda_1^\kappa}{\lambda_1^\infty} = \frac{2}{\kappa} + O\left(\frac{1}{\kappa^2}\right)$.
- For $\kappa \geq 5$ our $\eta_i(\lambda_\infty)$ approach gives

$$\frac{2}{3 + \kappa} \leq \text{eigenvalue error} \leq \frac{10}{3\kappa} + \frac{1}{\sqrt{\kappa}} O\left(\frac{1}{\kappa}\right)$$

$$\frac{2}{1 + \kappa} \leq \text{eigenvector error} \leq \frac{10}{\kappa} + \frac{1}{\sqrt{\kappa}} O\left(\frac{1}{\kappa}\right)$$

Non-regular case

A regular test case:



- Consider the 1D model

$$H_{\kappa} = \left(u'_2 + \frac{u_1}{R}\right)' \left(v'_2 + \frac{v_1}{R}\right)' + 1/\epsilon^2 \left(u'_1 - \frac{u_2}{R}\right) \left(v'_1 - \frac{v_2}{R}\right)$$

- One computes that $\beta \leq \frac{\sqrt{1+R^2}}{R}$

- And one obtains

$$\text{referent}(\lambda_{\infty})\epsilon^2 \leq \text{error} \leq \frac{\epsilon^2 \sqrt{1+R^2}}{R} \text{Referent}(\lambda_{\infty})$$

Conclusion and outlook

- Quantitative version of Weidmann's convergence results ('84)
- Problem reduces to a study of an equivalent "local" problem (study $(\psi, H_\kappa^{-1}\phi)$ for $\psi, \phi \in \mathcal{E}_\infty$)
- Regular case, qualified by $\beta < \infty$, covers a lot of important examples
- Our approach can be seen as a formal theoretic version of the Temple–Kato inequality.