## Solutions for exercises, Algebra I (Commutative Algebra) – Week 10

**Exercise 49.** (Associated primes, 4 points)

- Let p ∈ Ass(N); there is a n ∈ N, such that Ann(n) = p; since n ∈ M, we get p ∈ Ass(M) i.e. Ass(N) ⊂ Ass(M). Now, let p ∈ Ass(M) and m ∈ M such that Ann(m) = p. If m̄ = 0 ∈ M/N, then m ∈ N and we get p ∈ Ass(N). Otherwise, m̄ ≠ 0 ∈ M/N and ∀a ∈ p, am̄ = am̄ = 0 so p ⊂ Ann(m̄). Conversely if Ann(m̄) = p, then p ∈ Ass(M/N). Otherwise, consider a ∈ Ann(m̄)\p then am̄ = 0 ∈ M/N i.e. am ∈ N; a direct calculation shows that p ⊂ Ann(am). Now if b ∈ Ann(am), bam = 0 ∈ M thus ba ∈ Ann(m) = p; but since a ∉ p, b ∈ p i.e. p = Ann(am); thus p ∈ Ass(N) i.e. Ass(M) ⊂ Ass(N) ∪ Ass(M/N).
- 2. Let  $\mathfrak{p} \in \operatorname{Ass}(M)$  and consider  $m \in M$  such that  $\operatorname{Ann}(m) = \mathfrak{p}$ . If  $\frac{m}{1} = 0 \in M_{\mathfrak{p}}$ , there is a  $a \notin \mathfrak{p}$ , such that  $am = 0 \in M$  i.e.  $a \in \operatorname{Ann}(m) = \mathfrak{p}$ . Contradiction. Thus  $\frac{m}{1} \neq 0 \in M_{\mathfrak{p}}$ . In particular  $M_{\mathfrak{p}} \neq 0$  i.e.  $\mathfrak{p} \in \operatorname{Supp}(M)$ .
- 3. Let us denote  $\varphi: M \to \prod_{\mathfrak{p} \in \operatorname{Ass}(M)} M_{\mathfrak{p}}$ .

Let first prove that  $\operatorname{Ass}(M) \neq \emptyset$  as soon as  $M \neq 0$  (using Noetherianess of A): take  $0 \neq m \in M$ , then  $0 \in \operatorname{Ann}(m) \neq A$ . If  $\operatorname{Ann}(m)$  is prime, we can find  $a, b \in A \setminus \operatorname{Ann}(m)$  such that  $ab \in \operatorname{Ann}(m)$  i.e.  $am \neq 0$  and  $bm \neq 0$  but abm = 0. Then  $b \in \operatorname{Ann}(am)$  and for any  $c \in \operatorname{Ann}(am)$ ,  $cam = acm = a \cdot 0 = 0$  i.e.  $\operatorname{Ann}(m) \subset \operatorname{Ann}(am)$ ; thus  $\operatorname{Ann}(m) \subsetneq \operatorname{Ann}(m) + (b) \subset \operatorname{Ann}(am)$ . Next, if  $\operatorname{Ann}(am) \neq A$  is not prime, we can repeat the process and find a  $c \in A$  such that  $\operatorname{Ann}(m) \subsetneq \operatorname{Ann}(am) \subsetneq \operatorname{Ann}(acm) \neq A$ . So we can construct inductively, an ascending chain of proper ideals. As S is Noetherian, the chain has to stop so we reach a  $0 \neq m' \in \langle m \rangle$  (the cyclic submodule generated by m) for which  $\operatorname{Ann}(m')$  is a prime ideal i.e. such that  $\operatorname{Ann}(m') \in \operatorname{Ass}(M)$ .

Now, if  $\ker(\varphi) \neq 0$ , take  $0 \neq m \in \ker(\varphi)$ ; then since  $m \neq 0$ ,  $\operatorname{Ann}(m) \neq A$  and if  $\operatorname{Ann}(m)$  is not a prime ideal, we can proceed as above to find a  $m' \in \langle m \rangle$  such that  $\operatorname{Ann}(m')$  is a prime ideal i.e.  $\operatorname{Ann}(m') \in \operatorname{Ass}(M)$ . But since  $m' \in \langle m \rangle$  we can write m' = am; thus  $\varphi(m') = a\varphi(m) = 0$  i.e.  $m' \in \ker(\varphi)$ . But looking at the component corresponding to  $\operatorname{Ann}(m')$ , we get a contradiction by the previous question. So  $\ker(\varphi) = 0$ .

**Exercise 50.** (Discrete valuation rings (or not), 6 points)

- 1.  $\mathbb{Z}$  is not local (for any prime number p > 0, (p) is maximal) thus not a discrete valuation ring.
- 2. We have seen (solution for exercise 8) the non-zero ideals of k[[x]] are of the form  $(x^d)$  for some  $d \ge 0$ . So k[[x]] is a principal ideal domain, in particular any ideal in k[[x]] is finitely generated (by one element) thus k[[x]] is Noetherian. Among the ideals  $(x^d)$  of k[[x]], only (x) is prime; thus  $\operatorname{Spec}(k[[x]]) = \{(0), (x)\}$ . So  $\operatorname{MaxSpec}(k[[x]]) = \{(x)\}$  i.e. k[[x]] is local. Observe that  $(x)/(x)^2 = (x)/(x^2) \simeq k \cdot \overline{x}$ . So according to Corollary 11.16 k[[x]] is a discrete valuation ring.

Solutions to be handed in before Tuesday June 22, 4pm.

- 3. We have  $\operatorname{Spec}(k[x]_x) \simeq D(x)$ ; since k[x] has infinitely many maximal ideals (irreducible elements) and D(x) consists of all maximal ideals of k[x] but (x),  $k[x]_x$  is not local hence not a discrete valuation ring.
- 4. the ring  $k[x^2, x^3]$  is an integral domain as subring of an integral domain. We have  $x = \frac{x^3}{x^2} \in Q(k[x^2, x^3])$  and x is annhibited by  $Y^2 x^2 \in k[x^2, x^3][Y]$  so it is integral over  $k[x^2, x^3]$  but  $x \notin k[x^2, x^3]$  (looking at the expansions in k[x]). So  $k[x^2, x^3]$  is not normal. In particular it cannot be a discrete valuation ring.
- 5. We have  $\operatorname{Spec}(\mathbb{F}_3[x,y]/(x^2-y)) \simeq V((x^2-y)) \subset \operatorname{Spec}(\mathbb{F}_3[x,y])$ . The ideal  $(x^2-y) \subset (x^2-y,x) = (y,x)$  satisfies  $\mathbb{F}_3[x,y]/(x^2-y,x) \simeq \mathbb{F}_3$  so it is a maximal ideal of  $\mathbb{F}_3[x,y]$  i.e.  $(\overline{x}) \in \operatorname{MaxSpec}(\mathbb{F}_3[x,y]/(x^2-y))$  is maximal. Likewise the ideal  $(x^2-y) \subset (x^2-y,x-1) = (1-y,x-1)$  satisfies  $\mathbb{F}_3[x,y]/(x^2-y,x-1) \simeq \mathbb{F}_3$ . F<sub>3</sub> i.e. is maximal; thus  $(\overline{x} - 1) \in \text{MaxSpec}(\mathbb{F}_3[x, y]/(x^2 - y))$ . But  $(\overline{x} - 1) \neq (\overline{x})$ . Otherwise  $x - 1 \in (x^2 - y, x) = (y, x)$  but evaluating the polynomials at (0, 0), we get a contradiction.

So  $\mathbb{F}_3[x,y]/(x^2-y)$  is not local, in particular not a discrete valuation ring.

For any field, the constant map  $\nu: K^* \to \mathbb{Z}, a \mapsto 0$  satisfies Lemma 13.4 (i) and (ii); but  $\{\nu(\cdot) \geq 0\} \cup \{0\} = K$  is not a discrete valuation ring.

As soon as the valuation  $\nu: K^* \to \mathbb{Z}$  is not constant, by the property (ii) of Lemma 13.4 (and  $\nu(1) = \nu(1 \cdot 1) = \nu(1) + \nu(1)$  so  $\nu(1) = 0$ )  $\nu(K^*) \subset \mathbb{Z}$  is a non-zero subgroup of  $\mathbb{Z}$  i.e. of the form (d) for some d > 0. Then looking at  $\tilde{\nu} : K^* \to \mathbb{Z}$ ,  $a \mapsto \frac{\nu(a)}{d}$  we get a surjective group homomorphism and  $\{a \in K^*, \ \tilde{\nu}(a) \ge 0\} = \{a \in K^*, \ \nu(a) \ge 0\}$  so  $\{0\} \cup \{a \in K^*, \ \nu(a) \ge 0\}$ is a discrete valuation ring.

**Exercise 51.** (Rings that are not Dedekind rings, 5 points)

- 1. Let us consider the ideal  $(x_1, x_2) \subset A$ . It is fractional as an ideal of A. If it is invertible, consider  $M \subset k(x_1, x_2)$  its inverse. It is finitely generated by Remark 14.12 (ii) and (iii). Let us denote  $f_1, \ldots, f_k \in k(x_1, x_2)$  a set of generators of M as A-module. Then for any  $i, f_i x_1 \in A$  thus the only denominator that can appear in the  $f_i$ 's is  $x_1$ . But we also have  $f_i x_2 \in A$  so actually  $f_i \in A$  for any *i* i.e.  $M \subset A$  is an ideal. Then  $M \cdot (x_1, x_2) = ((x_1 f_i, x_2 f_i)_{i=1,\dots,k})$ ; thus evaluating at (0,0) we see that  $1 \notin M \cdot (x_1, x_2)$ . Contradiction. So  $(x_1, x_2)$  is not invertible.
- 2. We compute  $(\overline{x_1}, \overline{x_2})^2 = (\overline{x_1}^2, \overline{x_2}^2, \overline{x_1x_2}) = (\overline{x_1}^3, \overline{x_1}^2, \overline{x_1x_2}) = (\overline{x_1}^2, \overline{x_1x_2}) = (\overline{x_1}) \cdot (\overline{x_1}, \overline{x_2})$ . Thus if  $(\overline{x_1}, \overline{x_2})$  is invertible, we get  $(\overline{x_1}, \overline{x_2}) = (\overline{x_1})$ . This is impossible as  $\overline{x_2} \notin (\overline{x_1})$ ; otherwise  $x_2 = x_1f + (x_2^2 x_1^3)g$  in  $k[x_1, x_2]$  for some  $f,g \in k[x_1,x_2]$ ; then evaluating at  $x_1 = 0$  we get  $x_2 = x_2^2 g(0,x_2) \in k[x_2]$  which is impossible for degree reason. So  $(\overline{x_1}, \overline{x_2})$  is not invertible.

**Exercise 52.** (Absolute values, 4 points)

1. Define  $|\cdot|: Q(A) \to \mathbb{R}$  by  $|\frac{a}{b}| = \frac{|a|}{|b|}$ . It is well-defined as, if  $\frac{a}{b} = \frac{c}{d} \in Q(A)$ , we have (A integral domain) ad = bc in A. Thus |a||d| = |ad| = |bc| = |b||c| in  $\mathbb{R}$  so  $|\frac{a}{b}| = |\frac{c}{d}|$ ; proving well-definedss. By axiom 3,  $|1| = |1 \cdot 1| = |1| \cdot |1|$  i.e.  $|1| \in \mathbb{R}$  is idempotent so it is either 0 or 1. But because of axiom 2  $(1 \neq 0)$ , we have |1| = 1.

So  $|\cdot|$  on Q(A) extends the absolute value on A:  $|\frac{a}{1}| = \frac{|a|}{|1|} = |a|$ .

We have  $\left|\frac{a}{b}\right| = \frac{|a|}{|b|} \ge 0.$ 

If  $\left|\frac{a}{b}\right| = \frac{|a|}{|b|} = 0 \in \mathbb{R}$  then |a| = 0 i.e. (axiom 2) a = 0. But then  $\frac{a}{b} = \frac{0}{b} = 0 \in Q(A)$ . A direct caluclation shows multiplicativity:  $\left|\frac{a}{b} \cdot \frac{c}{d}\right| = \left|\frac{ac}{bd}\right| = \frac{|a||c|}{|b||d|} = \left|\frac{a}{b}\right|\left|\frac{c}{d}\right|$ .

Finally

$$|\frac{a}{b} + \frac{c}{d}| = |\frac{ad + bc}{bd}| = \frac{|ad + bc|}{|bd|} \le \frac{|ad| + |bc|}{|bd|} = \frac{|a||d|}{|b||d|} + \frac{|b||c|}{|b||d|} = |\frac{a}{b}| + |\frac{c}{d}|$$

2. We have 
$$\nu(\frac{a}{b} \cdot \frac{c}{d}) = -\log_{\alpha}(|\frac{a}{b} \cdot \frac{c}{d}|) = -\log_{\alpha}(\frac{|a||c|}{|b||d|}) = -\log_{\alpha}(|\frac{a}{b}|) - \log_{\alpha}(|\frac{c}{d}|) = \nu(\frac{a}{b}) + \nu(\frac{c}{d}).$$

We have

$$\begin{split} \nu(\frac{a}{b} + \frac{c}{d}) &= \nu(\frac{ad + bc}{bd}) = -\log_{\alpha}(|ad + bc|) + \log_{\alpha}(|bd|) \geq -\log_{\alpha}(\max(|ad|, |bc|)) + \log_{\alpha}(|bd|) \\ &= \min(-\log_{\alpha}(|ad|), -\log_{\alpha}(|bc|)) + \log_{\alpha}(|bd|) \\ &= \min(-\log_{\alpha}(\frac{|ad|}{|bd|}), -\log_{\alpha}(\frac{|bc|}{|bd|})) \\ &= \min(\nu(\frac{a}{b}), \nu(\frac{c}{d})). \end{split}$$

3. The inequality  $|a+b| \leq \max(|a|, |b|)$  does not hold for  $\mathbb{C}, |\cdot|$ ; indeed,  $|1+i| = \sqrt{2} > 1 = |1|, \ 1 = |i|$ . So  $-\log_{\alpha}(|1+i|) = -\log_{\alpha}(\sqrt{2}) < -\log_{\alpha}(1)$  i.e.  $-\log_{\alpha}(|\cdot|)$  does not satisfy Lemma 13.4 (i).

4. As in example 13.3 (iii),  $\mathbb{Z}_{(p)} \subset \mathbb{Q}$  admits the following description  $\mathbb{Z}_{(p)} = \{\frac{a}{b} \in \mathbb{Q}, p \nmid b \text{ and } (a,b) = 1\}$ . So set  $|\cdot| : \mathbb{Z}_{(p)} \setminus \{0\} \to \mathbb{N}$ , by  $\frac{a}{b} \mapsto p^{-\nu(a)}$  where  $(a,b) = 1, a \neq 0$  and  $\nu(a) = \max\{\ell \in \mathbb{N}, p^{\ell}|a\}$  and extend by 0 at  $0 \in \mathbb{Z}_{(p)}$ . If  $\frac{a}{b} \in \mathbb{Z}_{(p)} \setminus \{0\}$ , we have  $|\frac{a}{b}| = p^{-\nu(a)} > 0$  and  $|0| = 0 \ge 0$ . So  $|\cdot|$  satisfies axioms 1 and 2.

 $|\frac{a}{b}| = p^{-\nu(a)} > 0$  and  $|0| = 0 \ge 0$ . So  $|\cdot|$  satisfies axioms 1 and 2. Moreover,  $|\frac{a}{b} \cdot \frac{c}{d}| = |\frac{ac}{bd}|$ , then  $p \nmid bd$ , so taking out common primes in the numerator and the denominator does not affect  $\nu(ac)$ , which is equal  $\nu(a)\nu(c)$  as readily seen from the decomposition in primes. So  $|\frac{a}{b} \cdot \frac{c}{d}| = p^{-\nu(a)\nu(c)} = p^{-\nu(a)}p^{-\nu(c)} = |\frac{a}{b}||\frac{c}{d}|$ .

Finally,  $|\frac{a}{b} + \frac{c}{d}| = |\frac{ad+bc}{bd}|$  and again  $p \nmid bd$ ; since  $p \nmid d$ , we have  $\nu(ad) = \nu(a)$  and likewise  $\nu(bc) = \nu(c)$ . If  $\nu(a) \leq \nu(c)$  (i.e.  $|\frac{a}{b}| = p^{-\nu(a)} \geq p^{-\nu(c)} = |\frac{c}{d}$  in other words  $|\frac{a}{b}| = \max(|\frac{a}{b}|, |\frac{c}{d}|)$ ), then  $p^{\nu(a)}|ad+bc$  so  $\nu(ad+bc) \geq \nu(a)$  i.e.  $|\frac{ad+bc}{bc}| = p^{-\nu(ad+bc)} \leq p^{-\nu(a)} = |\frac{a}{b}| = \max(|\frac{a}{b}|, |\frac{c}{d}|)$ . Likewise, one shows that when  $\nu(a) > \nu(c)$ ,  $\max(|\frac{a}{b}|, |\frac{c}{d}|) = |\frac{c}{d}|$  and  $\nu(ad+bc) \geq \nu(c)$  i.e.  $|\frac{ad+bc}{bd}| = p^{-\nu(ad+bc)} \leq p^{-\nu(c)} = \max(|\frac{a}{b}|, |\frac{c}{d}|)$ . As a conclusion  $|\cdot|$  satisfies the axioms for an absolute value with the strengthened axiom 4 of question (ii). In particular  $-\log_p(|\cdot|)$  is a valuation on  $\tilde{\nu} := \mathbb{Q}^* = Q(\mathbb{Z}_{(p)})$ , which is equal to  $\nu$  on  $\mathbb{Z}_{(p)}$  (direct calculation).

Let us describe its valuation ring  $\{\nu(\cdot) \geq 0\} \cup \{0\}$ . Looking at the natural extension (question (i)) of  $|\cdot|$  to  $\mathbb{Q}$ , we see that  $\tilde{\nu}(\frac{a}{b}) = \nu(a) - \nu(b)$ . But can always take a representative for which (a, b) = 1, then p does not divide a and b i.e.  $\nu(a)\nu(b) = 0$ . Then from the formula, we see that  $\tilde{\nu}(\frac{a}{b}) \geq 0$  if and only if  $\nu(b) = 0$  i.e.  $p \nmid b$  i.e.  $\frac{a}{b} \in \mathbb{Z}_{(p)}$ .

**Exercise 53.** (Picard group, 6 points)

1. For  $M, N \in \operatorname{Pic}(A)$ , let us show that  $M \otimes N \in \operatorname{Pic}(A)$ : as M, N are finitely generated there are surjective homomorphism of A-modules  $A^{\oplus m} \twoheadrightarrow M$  and  $A^{\oplus n} \twoheadrightarrow N$  and since M, N are projective those homomorphisms admit a section (a homomorphism lifting the identity) i.e. M, N are direct summands of finite free A-modules  $A^{\oplus m} = M \oplus P$ ,  $A^{\oplus n} = N \oplus Q$ . Then  $A^{\oplus mn} = A^{\oplus m} \otimes A^{\oplus n} = M \otimes N \oplus (M \otimes Q \oplus P \otimes N \oplus P \otimes Q)$  i.e.  $M \otimes N$  is a direct summand of a finite free A-module; thus  $M \otimes N$  is a finite (look at the projection  $A^{\oplus mn} \twoheadrightarrow M \otimes N$ ) projective module. Moreover for any  $\mathfrak{p} \in \operatorname{Spec}(A)$ , we have (see tensor identity (3) on exercise sheet 6 and solution to exercise 15)

$$(M \otimes_A N)_{\mathfrak{p}} \simeq M \otimes_A N \otimes_A A_{\mathfrak{p}} \simeq (M \otimes_A A_{\mathfrak{p}}) \otimes_{A_{\mathfrak{p}}} (N \otimes_A A_{\mathfrak{p}}) \simeq A_{\mathfrak{p}} \otimes_{A_{\mathfrak{p}}} A_{\mathfrak{p}} \simeq A_{\mathfrak{p}}.$$

Associativity follows from associativity of tensor product.

As a A-module A is obviously finite and free (hence projective) and  $A_{\mathfrak{p}} \simeq A \otimes_A A_p p$ ; thus  $A \in \operatorname{Pic}(A)$ .

Moreover for any  $M \in Pic(A)$ , we have natural isomorphisms  $M \otimes_A A \simeq M$  and

 $A \otimes_A M \simeq M.$ 

For any  $M \in \operatorname{Pic}(A)$ , let us denote  $M^{-1} := \operatorname{Hom}_A(M, A)$ . As we have seen M is a direct summand of a finite free module:  $A^{\oplus m} \simeq M \oplus P$ ; applying the functor  $\operatorname{Hom}_A(\cdot, A)$  yields  $A^{\oplus m} \simeq \operatorname{Hom}_A(A^{\oplus m}, A) \simeq \operatorname{Hom}_A(M, A) \oplus \operatorname{Hom}_A(P, A)$ . So  $M^{-1}$  is a direct summand of a finite free module so it is finite and projective. Now, since for any finite free module, there is a natural isomorphism  $\operatorname{Hom}(A^{\oplus k}, A) \simeq \prod_{i=1}^k \operatorname{Hom}(A, A) \simeq A^{\oplus k}$  for any  $\mathfrak{p} \in \operatorname{Spec}(A)$ , we get  $\operatorname{Hom}(A^{\oplus k}, A)_{\mathfrak{p}} \simeq A^{\oplus k} \simeq A_{\mathfrak{p}} \simeq A_{\mathfrak{p}}^{\oplus k}$ . The decomposition  $A^{\oplus m} \simeq M \oplus P$  gives the exact sequence  $0 \to P \to A^{\oplus m} \to M \to 0$ . Composition the first homomorphism with the surjective homomorphism  $A^{\oplus m} \twoheadrightarrow P$  given by the second projection, gives an exact sequence

$$A^{\oplus m} \xrightarrow{f} A^{\oplus m} \xrightarrow{g} M \to 0. \tag{(*)}$$

Applying the functor  $\operatorname{Hom}(\cdot, A)$  yields  $0 \to \operatorname{Hom}_A(M, A) \xrightarrow{-\circ g} \operatorname{Hom}(A^{\oplus m}, A) \xrightarrow{-\circ f} \operatorname{Hom}(A^{\oplus m}, A)$ i.e.  $\operatorname{Hom}(M, A)$  is the kernel of  $-\circ f$ . Since localization is an exact functor, for any  $\mathfrak{p} \in \operatorname{Spec}(A)$ , we get the exact sequence

$$0 \to \operatorname{Hom}_{A}(M, A)_{\mathfrak{p}} \stackrel{-\circ g_{\mathfrak{p}}}{\to} \underbrace{\operatorname{Hom}(A^{\oplus m}, A)_{\mathfrak{p}}}_{\simeq A_{\mathfrak{p}}^{\oplus m} \simeq \operatorname{Hom}_{A_{\mathfrak{p}}}(A_{\mathfrak{p}}^{\oplus m}, A_{\mathfrak{p}})} \stackrel{-\circ f_{\mathfrak{p}}}{\to} \underbrace{\operatorname{Hom}(A^{\oplus m}, A)_{\mathfrak{p}}}_{\simeq A_{\mathfrak{p}}^{\oplus m} \simeq \operatorname{Hom}_{A_{\mathfrak{p}}}(A_{\mathfrak{p}}^{\oplus m}, A_{\mathfrak{p}})}$$

i.e.  $\operatorname{Hom}_A(M, A)_{\mathfrak{p}} \simeq \ker(-\circ f_{\mathfrak{p}})$ . But tensoring (\*) with  $A_{\mathfrak{p}}$  yields the exact sequence:  $A_{\mathfrak{p}}^{\oplus m} \xrightarrow{f_{\mathfrak{p}}} A_{\mathfrak{p}}^{\oplus m} \xrightarrow{g_{\mathfrak{p}}} M_{\mathfrak{p}} \to 0$ ; then applying  $\operatorname{Hom}_{A_{\mathfrak{p}}}(\cdot, A_{\mathfrak{p}})$  gives the exact sequence  $0 \to \operatorname{Hom}_{A_{\mathfrak{p}}}(M_{\mathfrak{p}}, A_{\mathfrak{p}}) \xrightarrow{-\circ g_{\mathfrak{p}}} \operatorname{Hom}_{A_{\mathfrak{p}}}(A_{\mathfrak{p}}^{\oplus m}, A_{\mathfrak{p}}) \xrightarrow{\circ f_{\mathfrak{p}}} \operatorname{Hom}_{A_{\mathfrak{p}}}(A_{\mathfrak{p}}^{\oplus m}, A_{\mathfrak{p}})$  i.e.  $\operatorname{Hom}_{A_{\mathfrak{p}}}(M_{\mathfrak{p}}, A_{\mathfrak{p}}) \simeq \ker(-\circ f_{\mathfrak{p}})$ . As a conclusion,  $\operatorname{Hom}_A(M, A)_{\mathfrak{p}} \simeq \operatorname{Hom}_{A_{\mathfrak{p}}}(M_{\mathfrak{p}}, A_{\mathfrak{p}})$ . but by assumption  $M_{\mathfrak{p}} \simeq A_{\mathfrak{p}}$ ; thus  $\operatorname{Hom}_{A_{\mathfrak{p}}}(M_{\mathfrak{p}}, A_{\mathfrak{p}}) \simeq \operatorname{Hom}_{A_{\mathfrak{p}}}(A_{\mathfrak{p}}, A_{\mathfrak{p}}) \simeq A_{\mathfrak{p}}$ . So  $M^{-1} \in \operatorname{Pic}(A)$ .

Moreover the natural homomorphism  $c : \operatorname{Hom}_A(M, A) \otimes M \to A, \lambda \otimes m \mapsto \lambda(m)$  is an isomorphism: indeed we have an exact sequence  $0 \to \ker(c) \to \operatorname{Hom}_A(M, A) \otimes M \xrightarrow{c} A \to \operatorname{coker}(c) \to 0$  so that tensoring with the flat A-algebra  $A_{\mathfrak{p}}$ , we get the exact sequence  $0 \to \ker(c)_{\mathfrak{p}} \to \operatorname{Hom}_A(M, A)_{\mathfrak{p}} \otimes_{A_{\mathfrak{p}}} M_{\mathfrak{p}} \xrightarrow{c_{\mathfrak{p}}} A_{\mathfrak{p}} \to \operatorname{coker}(c)_{\mathfrak{p}} \to 0$ . But  $c_{\mathfrak{p}} :$  $\operatorname{Hom}_A(M, A)_{\mathfrak{p}} \otimes_{A_{\mathfrak{p}}} M_{\mathfrak{p}} \to A_{\mathfrak{p}}$  is an isomorphism (check it, the isomorphism  $M_{\mathfrak{p}} \simeq A_{\mathfrak{p}}$ 

 $\simeq \operatorname{Hom}_{A_{\mathfrak{p}}}(M_{\mathfrak{p}}, A_{\mathfrak{p}}) \otimes_{A_{\mathfrak{p}}} A_{\mathfrak{p}} \simeq A_{\mathfrak{p}}$ 

tells that there is a  $m \in M_{\mathfrak{p}}$  such that  $A_{\mathfrak{p}} \to M_{\mathfrak{p}}$ ,  $a \mapsto am$  is an isomorphism). Thus for any  $\mathfrak{p} \in \operatorname{Spec}(A)$ ,  $\ker(c)_{\mathfrak{p}} = 0 = \operatorname{coker}(c)_{\mathfrak{p}}$  i.e. (Proposition 8.24)  $\ker(c) = 0 = \operatorname{coker}(c)$ .

2. Let  $M \subset K$  be an invertible A-submodule and  $N \subset K$  its inverse i.e.  $M \cdot N = A$ . In particular  $1 \in A$  can be written

$$1 = \sum_{i=1}^{\kappa} m_i n_i \tag{**}$$

for some  $m_i \in M$  and  $n_i \in N$ . Then for any  $m \in M$ ,  $m = \sum_{i=1}^k (\underbrace{mn_i}_{\in M \cdot N = A}) m_i$  in K i.e.

 $m_1, \ldots, m_k$  generate M as a A-module. So we have a surjective homomorphism of A-modules  $f: A^k \to M$ ,  $(a_1, \ldots, a_k) \mapsto \sum_i a_i m_i$ . But using (\*\*), we can also define a homomorphism of A-modules  $g: M \to A^k$ ,  $m \mapsto (mn_1, \ldots, mn_k)$  (straightforward to see that it is a homomorphism). Observe that for  $m \in M$ ,  $f(g(m)) = f((mn_1, \ldots, mn_k)) = \sum_{i=1}^k (mn_i)m_i$  which, as seen above, is equal to m. So  $f \circ g = \operatorname{id}_M$  i.e. M is a direct summand of  $A^k$ ; so M is a finite projective A-module.

Moreover, for any  $\mathfrak{p} \in \operatorname{Spec}(A) \setminus \{(0)\}$ ,  $A_{\mathfrak{p}}$  is a discrete valuation ring and  $M_{\mathfrak{p}}$  an invertible  $A_{\mathfrak{p}}$  submodule (by Lemma 14.15) of K; in particular it is fractional so there is a  $a \in K$  such that  $aM_{\mathfrak{p}} \subset A_{\mathfrak{p}}$  is an ideal. But as  $A_{\mathfrak{p}}$  is a discrete valuation ring, according to Proposition 13.14,  $aM_{\mathfrak{p}}$  is principal, of the form  $(t^{\ell})$ , for  $\ell \geq 0$  and  $t \in \mathfrak{p}A_{\mathfrak{p}}$  a uniformizing parameter. So in K, we have  $M_{\mathfrak{p}} \simeq (\frac{t^{\ell}}{a})$  as  $A_{\mathfrak{p}}$ -modules and the cyclic

 $A_{\mathfrak{p}}$ -module  $\frac{t^{\ell}}{a} \cdot A_{\mathfrak{p}} \subset K$  is isomorphic to  $A_{\mathfrak{p}}$  (look at  $A_{\mathfrak{p}} \to \frac{t^{\ell}}{a} \cdot A_{\mathfrak{p}}, x \mapsto x \frac{t^{\ell}}{a}$ ) since it has no torsion (as submodule of a field). So  $M_{\mathfrak{p}} \simeq A_{\mathfrak{p}}$ .

For  $\mathfrak{p} = (0)$ , by Lemma 14.15,  $M_{(0)}$  is an invertible  $A_{(0)} \simeq K$ -submodule of K so  $K \supset M_{(0)} \neq 0$  thus  $M_{(0)} \simeq K = A_{(0)}$ . As a conclusion  $M \in \operatorname{Pic}(A)$ .

Let us prove that this forgetful map respects the composition laws: let  $M, N \subset K$  be invertible A-submodules. We can look at the homomorphism of A-modules  $f: M \otimes_A N \to M \cdot N, \ m \otimes n \mapsto mn$ . It is readily seen to be surjective. Now, for a  $\mathfrak{p} \in \operatorname{Spec}(A)$ , we have the localization  $f_{\mathfrak{p}}: M_{\mathfrak{p}} \otimes_{A_{\mathfrak{p}}} N_{\mathfrak{p}} \to (M \cdot N)_{\mathfrak{p}}$ ; but  $M_{\mathfrak{p}} \otimes_{A_{\mathfrak{p}}} N_{\mathfrak{p}} \simeq (m \cdot A_{\mathfrak{p}}) \otimes_{A_{\mathfrak{p}}} (n \cdot A_{\mathfrak{p}})$  where  $m \in M_{\mathfrak{p}}$  (resp.  $n \in N_{\mathfrak{p}}$ ) gives the isomorphism  $A_{\mathfrak{p}} \simeq M_{\mathfrak{p}}$  (resp.  $A_{\mathfrak{p}} \simeq N_{\mathfrak{p}}$ ). Since  $M \cdot N$  is invertible,  $(M \cdot N)_{\mathfrak{p}} \simeq A_{\mathfrak{p}}$  is a cyclic  $A_{\mathfrak{p}}$ -module and  $(M \cdot N)_{\mathfrak{p}} \simeq M_{\mathfrak{p}} \cdot N_{\mathfrak{p}}$ , it is generated by mn. So  $f_{\mathfrak{p}}$  is an isomorphism; in particular ker $(f_{\mathfrak{p}}) = 0$ . So ker $(f)_{\mathfrak{p}} = 0$  for any  $\mathfrak{p} \in \operatorname{Spec}(A)$  i.e. ker(f) = 0; thus  $M \otimes_A N \simeq M \cdot N$  as A-module.

If an invertible A-submodule  $M \subset K$  is principal i.e.  $M = \alpha \cdot A$  for some  $\alpha \in K^*$ , since the cyclic A-module  $(\alpha) \subset K$  has no torsion (as a submodule of the field K), we have  $A \simeq \alpha \cdot A$  as A-modules, so  $M \simeq A$  as A-modules. But A is the neutral element of the group Pic(A). So the forgetful map  $Cl(A) \to Pic(A)$  is a group homomorphism.

Surjectivity: if  $M \in \operatorname{Pic}(A)$ , then for any  $a \in A \setminus \{0\}$ , let us prove that  $t_a : M \to M$ ,  $m \mapsto am$  is injective: for any  $\mathfrak{p} \in \operatorname{Spec}(A) \setminus \{(0)\}$ ,  $M_{\mathfrak{p}} \simeq A_{\mathfrak{p}} \cdot m_{\mathfrak{p}} \simeq A_{\mathfrak{p}}$  and since  $A_{\mathfrak{p}}$  is an integral domain (and  $A \hookrightarrow A_{\mathfrak{p}}$ , all because A is an integral domain see for example Exercise 24(i)),  $t_{a,\mathfrak{p}} : M_{\mathfrak{p}} \simeq A_{\mathfrak{p}} \to M_{\mathfrak{p}} \simeq A_{\mathfrak{p}}$  is injective; thus  $\ker(t_a)_{\mathfrak{m}} = 0$  for any maximal ideal  $\mathfrak{m} \in \operatorname{Spec}(A)$  i.e.  $\ker(t_a) = 0$ .

As a consequence, the natural homomorphism  $M \to M_{(0)}$  is injective: if  $\frac{m}{1} \in M_{(0)}$  then there is a  $a \in A \setminus \{0\}$  such that am = 0 in M. But we have seen that this implies that m = 0.

Moreover  $M_{(0)} \simeq A_{(0)} \simeq K$ , so M is isomorphic to a A-submodule of K. The isomorphism  $M_{(0)} \simeq K$  is given by the datum of some  $0 \neq \frac{m}{a} \in M_{(0)}$  (the preimage of 1) i.e.  $K \simeq K \cdot \frac{m}{a} \simeq M_{(0)}$ . Let  $m_1, \ldots, m_k \in M$  be a set of generators of M as a A-module; since  $M_{(0)}$  is cyclic, we have  $\frac{m_i}{1} = \frac{b_i}{a_i} \frac{m}{a} \in M_{(0)}$  for some  $b_i, a_i \in A$  ( $a_i \neq 0$ ). Consider  $\alpha = \prod_{i=1}^k a_i \in A$ ; for any i, we have  $\alpha \frac{m_i}{1} = b_i \prod_{j \neq i} a_j \cdot \frac{m}{a}$ , i.e. under the inclusion  $M \hookrightarrow K = M_{(0)}, \alpha m_i \in A$  so M is isomorphic to a fractional ideal. Now since for any  $\mathfrak{p} \in \operatorname{Spec}(A), M_{\mathfrak{p}} \simeq A_{\mathfrak{p}}$  (so  $M_{\mathfrak{p}}$  is in particular cyclic) and the localization is compatible with the inclusion  $M \hookrightarrow K \simeq M_{(0)}$  i.e.  $M \hookrightarrow M_{\mathfrak{p}} \hookrightarrow M_{(0)}$  (successive localizations with respect to  $(0) \subset \mathfrak{p}$ , see Exercise 28),  $M_{\mathfrak{p}}$  is invertible. So according to Lemma 14.15, M is isomorphic to an invertible A-submodule of K; proving surjectivity.

Injectivity: Assume  $M \in J(A)$  is sent to A by the forgetful map i.e.  $M \simeq A$  as A-module, then M is cyclic (take the preimage of  $1 \in A$ ), generated by some  $m \in M \subset K$  i.e.  $M \simeq m \cdot A \simeq A$ . So  $M \in P(A)$ ; proving injectivity.

## **Exercise 54.** (Class number, 5 points)

1. For  $\mathbb{Q}(i)$ ,  $\mathcal{O}_K \simeq \mathbb{Z}[i]$ . It is sufficient to prove that  $\mathcal{O}_K$  is a principal ideal domain. Let us define  $N : \mathbb{Q}[i] \to \mathbb{R}_{\geq 0}$ , by the usual euclidean norm of  $\mathbb{C}$  i.e.  $a + ib \mapsto |a + ib|^2 = a^2 + b^2$ . Then it is an absolute value in the sense of Exercise 52. Let us prove that there is a Euclidean division in  $\mathbb{Q}(i)$  i.e. given  $z, z' \in \mathbb{Z}(i)$  with  $z' \neq 0$ , there are  $q \in \mathbb{Z}(i)$  and  $r \in \mathbb{Z}(i) \cap \{N(\cdot) < N(z')\}$  such that z = qz' + r: if N(z) < N(z') take q = 0 and r = z. Otherwise, consider  $\frac{z}{z'} = a + ib \in \mathbb{C}$  which by direct calculation sits in  $\mathbb{Q}(i)$ . Let us consider the closest integers  $k, \ell \in \mathbb{Z}$  to respectively a and b i.e.  $|k - a| \leq \frac{1}{2}$  and

 $|\ell - b| \leq \frac{1}{2}$ . Then

$$\begin{aligned} \frac{z}{z'} &= a + ib \\ &= (a-k) + i(b-\ell) + (k+i\ell) \end{aligned}$$

so that  $z = (k + i\ell)z' + [(a - k) + i(b - \ell)]z'$ . Since  $z, k + i\ell, z' \in \mathbb{Z}[i]$ , we get that  $[(a - k) + i(b - \ell)]z' = z - (k + i\ell)z' \in \mathbb{Z}[i]$ ; moreover

$$N([(a-k)+i(b-\ell)]z') = N(z')N((a-k)+i(b-\ell)) = N(z')[(a-k)^2+(b-\ell)^2]$$
  
$$\leq N(z')\frac{1}{2}$$
  
$$< N(z')$$

proving the statement.

Let  $M \subset \mathbb{Q}(i)$  be an invertible  $\mathbb{Z}[i]$ -submodule. Let  $0 \neq a \in \mathbb{Q}(i)$  such that  $aM \subset \mathbb{Z}[i]$ , the non-empty set  $\{N(x+iy) = x^2 + y^2, 0 \neq x+iy \in aM\} \subset \mathbb{N}$  has a minimal element d > 0; let  $x_0 + iy_0 \in aM$  such that  $N(x_0 + iy_0) = d$ . For any  $z \in aM \subset \mathbb{Z}[i]$ , there are  $q, r \in \mathbb{Z}[i]$  such that  $z = q(x_0 + iy_0) + r$  with  $N(r) < N(x_0 + iy_0) = d$ . But since aM is an ideal  $q(x_0 + iy_0) \in aM$  and thus  $r = z - q(x_0 + iy_0) \in aM$ ; but definition of d, we must have r = 0 i.e.  $z \in (x_0 + iy_0)$ ; as a conclusion  $aM = (x_0 + iy_0)$ . So  $M = (\frac{x_0 + iy_0}{a}) \subset \mathbb{Q}(i)$  is principal. So  $h_{\mathbb{Q}(i)} = 1$ .

2. For  $\mathbb{Q}(\sqrt{-2})$ ,  $\mathcal{O}_K \simeq \mathbb{Z}[\sqrt{-2}]$ . Let us define  $N : \mathbb{Q}[\sqrt{-2}] \to \mathbb{R}_{\geq 0}$  by  $a + \sqrt{-2b} \mapsto a^2 + 2b^2$ . If  $N(a + \sqrt{-2b}) = 0$  then since  $a^2 \geq 0$  and  $b^2 \geq 0$ , we have a = 0 = b. A direct calculation shows that N is multiplicative i.e.

$$\begin{split} N((a+\sqrt{-2}b)(c+\sqrt{-2}d)) &= N(ac-2bd+\sqrt{-2}(ad+bc)) \\ &= (ac-2bd)^2 + 2(ad+bc)^2 \\ &= (ac)^2 - 4abcd + 4(bd)^2 + 2(ad)^2 + 4abcd + 2(bc)^2 \\ &= (a^2+2b^2)(c^2+2d^2) \\ &= N(a+\sqrt{-2}b)N(c+\sqrt{-2}d) \end{split}$$

for any pair  $a + \sqrt{-2b}$ ,  $c + \sqrt{-2d} \in \mathbb{Z}[\sqrt{-2}]$  and it is not difficult to check the other property to show that N is an absolute value in the sense of Exercise 52.

Let us show that there is an Euclidean division in  $\mathbb{Z}[\sqrt{-2}]$ , the proof is the same as above: for any  $z, z' \in \mathbb{Z}[\sqrt{-2}]$  with  $z' \neq 0$ , there is a pair  $(q, r) \in \mathbb{Z}[\sqrt{-2}]$ , such that z = qz' + r and N(r) < N(z').

If N(z) < N(z') we are done (q = 0, r = z). Otherwise look at  $\frac{z}{z'}$  which is in  $QQ(\sqrt{-2})$  i.e. can be written  $a + \sqrt{-2}b$ , with  $a, b \in \mathbb{Q}$ . Let  $k, \ell \in \mathbb{Z}$  the closest integers to resp. a and b i.e.  $|a - k| \le \frac{1}{2}$  and  $|b - \ell| \le \frac{1}{2}$ . Then  $z = (k + \sqrt{-2}\ell)z' + [(a - k) + \sqrt{-2}(b - \ell)]z'$ ;  $z \in \mathbb{Z}[\sqrt{-2}], z' \in \mathbb{Z}[\sqrt{-2}], k + \sqrt{-2}\ell \in \mathbb{Z}[\sqrt{-2}]$ , so that  $[(a - k) + \sqrt{-2}(b - \ell)]z' \in \mathbb{Z}[\sqrt{-2}]$  and

$$N([(a-k) + \sqrt{-2}(b-\ell)]z') = N(z')N((a-k) + \sqrt{-2}(b-\ell)) = N(z')[(a-k)^2 + 2(b-\ell)^2]$$
  
$$\leq N(z')(\frac{1}{4} + \frac{1}{2})$$
  
$$= N(z')(\frac{3}{4})$$
  
$$< N(z')$$

proving Euclidean division.

Conclude as done in the previous question.