

Talk: Good + semi-stable reduction of Shimura varieties

Fix  $p \neq$

(1) Example: Modular curve  $\mathcal{M}_{K^p, K}$ ,  $K = K_p = \begin{cases} \mathrm{GL}_2(\mathbb{Z}_p) \\ \Gamma_0(p) \end{cases}$

$$\mathcal{O}^{\mathrm{sh}} = (W(\mathbb{F}_p)[X, Y]/(XY - p))^{\mathrm{sh}}$$

(2). Problem : a) some integr. model

b) a chosen class of int. models.

Joint w. X. He, G. Pappas. 2 anecdotes

(3) Class of Kisin-Pappas: Start with Shimura datum  $(\mathbb{G}, X)$  of

abelian type s.t.  $G = G \otimes_{\mathbb{Q}} \mathbb{Q}_p$  splits over tamely ramif. ext.

Let  $K = K^p \cdot K$ , where  $K = K_p$  = parahoric. Then get

$\mathcal{S}(\mathbb{G}, X)_K$  = good model over  $\mathcal{O}_E$ ,  $\mathcal{O}_E/E = E_f$ .  
(aug f/p).

Explanations:

a) abelian type  $\supset$  Hodge type  $\supset$  PEL-type, i.e. "G of classical type"

b) parahoric: for  $G = \mathrm{GL}_n$ , stabilizer of periodic lattice chain

$$\Lambda_{i_1} \subset \Lambda_{i_2} \subset \dots \subset \Lambda_{i_l} \subset p^l \Lambda_{i_1}$$

std.

for  $G = \mathrm{GSp}_{2n}$ ,  $\Lambda_0$  selfdual.

c) In general have no charact. But for  $K$  hyperspec. have one.

h.s.

$$\begin{cases} \mathrm{GL}_n: & 1 \text{ lattice} \\ \mathrm{GSp}_{2n}: & 1 \text{ selfdual lattice.} \end{cases}$$

d) Singularities modeled by corresp. LM (linearization of problem).

(4.) Definition: Let  $F/\mathbb{Q}_p$ . An LM-triple =  $(G, \gamma_{\mu}, f_g)$  where

$G$  reductive /  $F$ ,  $\gamma_{\mu}$  =  $\text{conj. class of } \mu: G_m \xrightarrow{\sim} G_F$ ,  $f_g$  = smooth

gp scheme over  $\mathcal{O}_F$  s.t.  $K = f_g(\mathcal{O}_F)$  parabolic.

$\hookrightarrow E \subset \overline{F}$ .

Pappas-Zhu: Assume  $G$  tame. They construct  $M^{\text{loc}} = M^{\text{loc}}(G, \gamma_{\mu}, f_g) =$

flat projective  $\mathcal{O}_{E^+}$ -scheme ~~sht~~ with  $f_g \otimes \mathcal{O}_{E^+}$ -action  
 generic fiber =  $X_{\gamma_{\mu}}$  as  $h_E^+$ -scheme

geom. special fiber =  $A(G, \gamma_{\mu}, f_g)$  as  $g \otimes k$ -schemes,

closed union of Schubert var. inside loop group

flag var.  $L^G / L^+ f_g'$

Conjecture: These 2 properties characterize  $M^{\text{loc}}$ .

Example: Let  $F = \mathbb{Q}_p$ ,  $G = GL_n$ ,  $\gamma_{\mu} = (1^{(r)}, 0^{(n-r)})$ ,  $f_g = \text{Stab}(1_{\alpha}^l c 1_{\beta})$ .

Flag variety is  $L^G / L^+ f_g'$ ,  $f_g' = \text{Stab}(1_{\alpha}^l c 1_{\beta}^l)$

Jwahori-Weyl gp of  $L^G = \mathbb{Z}^n \times S_n = \tilde{W}$

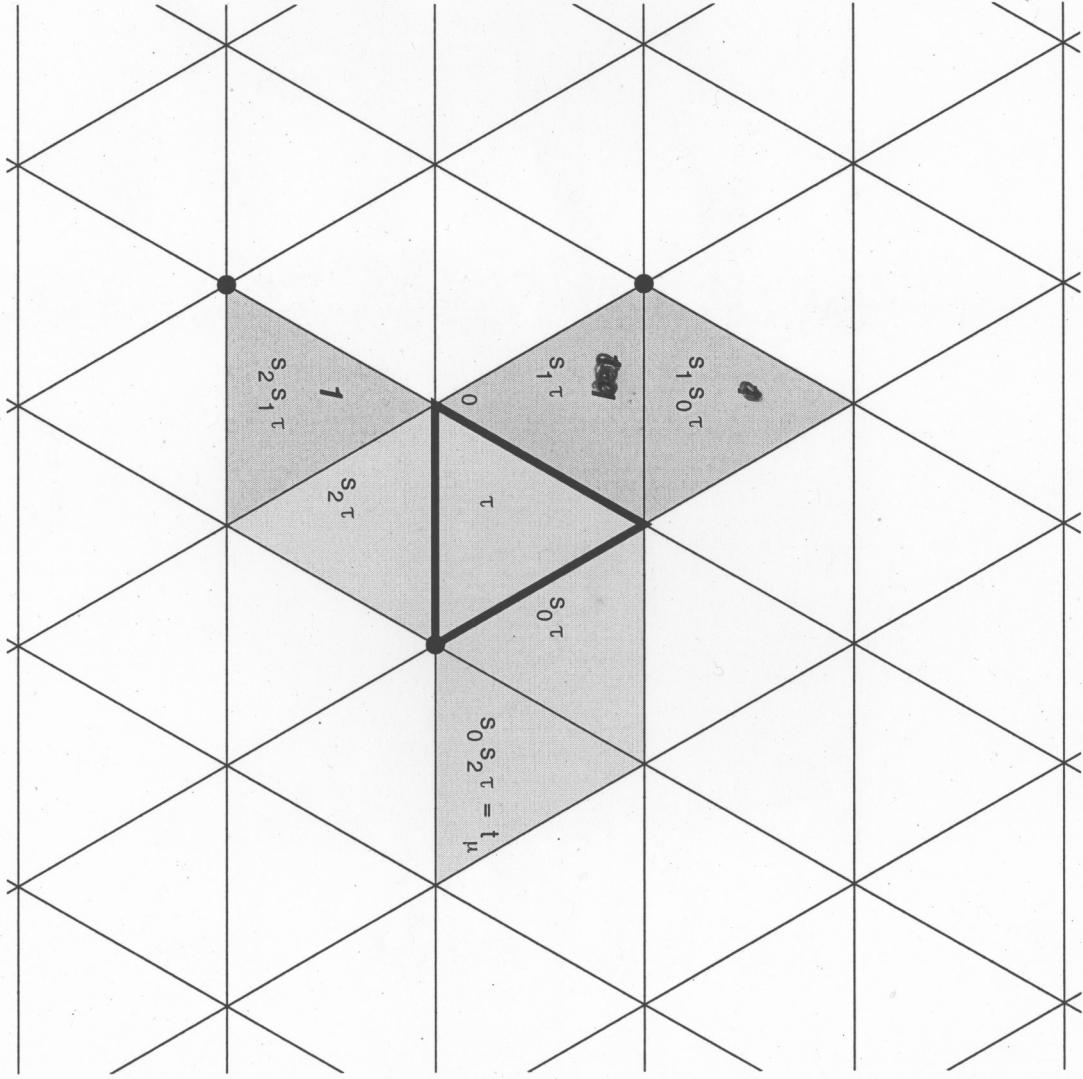
$\text{Adm}(\mu) = \{ w \in \tilde{W} \mid w \leq t_{s(\mu)} \text{, some } s \in S_n \}$ .

$A(G, \gamma_{\mu}, f_g) = \bigcup_{w \in \text{Adm}(\mu)} S_w$

$M^{\text{loc}}$  Repsents on  $Sch(\mathbb{Z}_p)$ :  $S \mapsto$   
 $(f_{\sigma(S)})$

$\text{rg } f_i = F_i$ .

$$\begin{array}{ccccccc} \Lambda_{0,S} & \rightarrow & \Lambda_{1,S} & \rightarrow & \dots & \rightarrow & \Lambda_{n,S} \\ \cup & & \cup & & & & \cup \\ F_0 & \rightarrow & F_1 & \rightarrow & \dots & \rightarrow & F_{n-1} \rightarrow F_0 \end{array}$$



[4]

Modelling means: Form L H-triple  $\mathcal{R}^{\text{sh}}_{\mathcal{X}}$  over  $\mathcal{O}_p$ :  $\mathcal{G} = \mathcal{O}_p \otimes_{\mathbb{Z}_p} \mathcal{X}_p$ ,  $\mathcal{F}$  from  $\mathcal{X}$ ,  $\mathcal{G}$  from  $K_p$ .

Then  $\forall$  closed point  $x \in S(\mathbb{A}, \mathbb{X})_K$  ex  $y$  closed point  $y \in M^{\text{loc}}$  s.t.

$$\mathcal{O}_{S,x}^{\text{sh}} \simeq \mathcal{O}_{M^{\text{loc}},y}^{\text{sh}}.$$

Furthermore, if  $(\mathbb{A}, \mathbb{X})$  of Hodge type, then also conversely.

Example: modular curve with  $T_0(p)$ , explain red. double point.

Hence question of good / semi-stable red. on  $S$  transferred to  $M^{\text{loc}}$ . From now on only LM.

Remark: LM should exist even without semistability assumption.

m) Scholze (Berkeley lectures).

**Theorem.** Let  $(G, \{\mu\}, K)$  be a LM-triple over  $F$  such that  $G$  splits over a tamely ramified extension of  $F$ . Assume that  $G_{\text{ad}}$  is  $F$ -simple and that in the product decomposition over  $\breve{F}$ ,

$$G_{\text{ad}} \otimes_F \breve{F} = \prod \breve{G}_{\text{ad}, i}$$

each factor is absolutely simple. Then the local model  $\mathbb{M}^{\text{loc}}(G, \{\mu\}, K)$  is smooth over  $\text{Spec } O_E$  if and only if  $K$  is hyperspecial or  $(G, \mu, K)$  is an LM-triple of exotic good reduction type.

**Theorem.** Assume that  $G$  is adjoint and absolutely simple. The local model  $\mathbb{M}^{\text{loc}}(G, \{\mu\}, K)$  has semi-stable but not good reduction in precisely one of the following cases (we list the corresponding enhanced Tits data):

| Enhanced Tits datum | Linear algebra datum   | Discoverer |
|---------------------|--|------------|
|                     | Split $\text{SL}_n, r = 1$<br>arbitrary chain of lattices of length $\geq 2$ | Drinfeld   |
|                     | Split $\text{SL}_n$ with $n \geq 4$<br>r arbitrary, $(\Lambda_0, \Lambda_1)$ | Görtz      |
|                     | Split $\text{SO}_{2n+1}$ with $n \geq 3, r = 1, (\Lambda_0, \Lambda_n)$      | new        |
|                     | Split $\text{Sp}_{2n}$ with $n \geq 2, r = n, (\Lambda_0, \Lambda_1)$        | new        |
|                     | Split $\text{SO}_{2n}$ with $n \geq 4, r = 1, (\Lambda_0, \Lambda_n)$        | Faltings   |
|                     | Split $\text{SO}_{2n}$ with $n \geq 5, r = n, \Lambda_1$                     | new        |

In the diagrams above, if not specified, hyperspecial vertices are marked with an  $hs$ . In order to also show the coweight  $\{\lambda\}$ , a special vertex is specified (marked by a square)<sup>1</sup> so that the extended affine Weyl group appears as a semi-direct product of  $W_0$  and  $X_*$ . Then  $\{\lambda\}$  is equal to the fundamental coweight of the vertex marked with  $\times$ . Finally, the subset  $\tilde{K}$  is the set of vertices filled with black color. Note that there are some obvious overlaps between the first two rows.

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<sup>1</sup>Note that the local Dynkin type  $C\text{-}BC_n$  does not occur here so that all special vertices are conjugate; hence this specification plays no role.

Exotic good reduction (Richard) ↴ anecdote.

Let  $F'/F$  ramif. quadr., let  $G = \mathrm{GL}(V)$  unitary grp,  $\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ ,

$K = \text{Stab of } \pi\text{-mod. / almost } \pi\text{-mod lattice in } V$ .

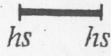
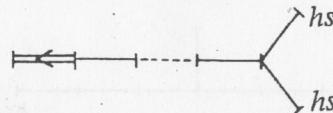
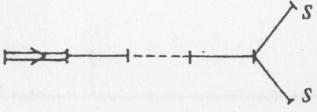
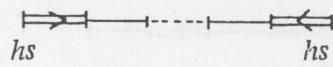
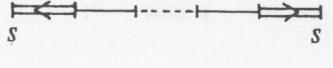
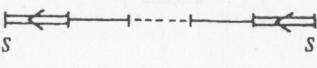
Only example I know where smoothness not by infinit criterion / homogeneity.

Closely related to smoothness of Schubert varieties in affine  
grassmannian (Evans / Kirillov, Haines / Richard).

Under hyp. of Thm 2, ~~the~~ enhanced Tits systems  $\check{\vee}$  equal  $\Leftrightarrow$   
become isom. over unramif. ext. of  $F$

Anecdote

## 4.2. Residually split groups.

| Name                | Local Dynkin diagram  | Index [22]              |
|---------------------|---|-------------------------|
| $A_n (n \geq 2)$    | A cycle of length $n + 1$ all vertices of which are hyperspecial                    | ${}^1 A_{n,n}^{(1)}$    |
| $A_1$               |    | ${}^1 A_{1,1}^{(1)}$    |
| $B_n (n \geq 3)$    |    | $B_{n,n}$               |
| $B-C_n (n \geq 3)$  |   | ${}^2 A_{2n-1,n}^{(1)}$ |
| $C_n (n \geq 2)$    |  | $C_{n,n}^{(1)}$         |
| $C-B_n (n \geq 2)$  |  | ${}^2 D_{n+1,n}^{(1)}$  |
| $C-BC_n (n \geq 2)$ |  | ${}^2 A_{2n,n}^{(1)}$   |
| $C-BC_1$            |  | ${}^2 A_{2,1}^{(1)}$    |

| Name                    | Local Dynkin diagram | Index [22]                            |
|-------------------------|----------------------|---------------------------------------|
| $D_n$<br>( $n \geq 4$ ) |                      | ${}^1D_{n,n}^{(1)}$                   |
| $E_6$                   |                      | ${}^1E_{6,6}^0$                       |
| $E_7$                   |                      | $E_{7,7}^0$                           |
| $E_8$                   |                      | $E_{8,8}^0$                           |
| $F_4$                   |                      | $F_{4,4}^0$                           |
| $F_4^1$                 |                      | ${}^2E_{6,4}^2$                       |
| $G_2$                   |                      | $G_{2,2}$                             |
| $G_2^1$                 |                      | ${}^3D_{4,2} \text{ or } {}^6D_{4,2}$ |